

· 临床研究 ·

新型冠状病毒肺炎所致凝血功能障碍及其对预后的影响

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【摘要】目的 分析新型冠状病毒肺炎(COVID-19)感染患者血浆D-二聚体、血浆纤维蛋白(原)降解产物(FDPs)等凝血指标的特点,并探讨其对患者病情的预测价值。**方法** 收集南京医科大学第二附属医院自2022年12月至2023年1月收治的COVID-19感染患者100例,分为轻型组($n=29$ 例),中型组($n=40$ 例)及重型/危重型组($n=31$ 例)。记录患者血浆D-二聚体、FDPs等凝血相关指标水平,分析3组患者凝血指标水平的特点。采用SPSS 27.0软件进行数据分析。根据数据类型,组间比较分别采用LSD- t 检验、方差分析、 χ^2 检验、Kruskal-Wallis检验及Bonferroni校正检验。采用受试者工作特征(ROC)曲线评价FDPs、D-二聚体、年龄及联合分析对预测COVID-19感染患者病死的诊断价值。**结果** 重型/危重型组患者高龄、合并基础疾病比例及死亡率高于轻型组、中型组,差异有统计学意义($P<0.05$);中型组患者血浆FDPs水平、重型/危重型组患者血浆FDPs、D-二聚体水平均高于轻型组,差异有统计学意义($P<0.05$);FDPs、D-二聚体、年龄、FDPs与D-二聚体两项联合分析、FDPs与D-二聚体、年龄三项联合分析的ROC曲线下面积分别为0.785(95%CI 0.645~0.926; $P<0.01$)、0.811(95%CI 0.691~0.03; $P<0.01$)、0.725(95%CI 0.558~0.891; $P<0.05$)、0.766(95%CI 0.581~0.951; $P<0.05$)、0.875(95%CI 0.789~0.962; $P<0.01$),灵敏度分别为90.9%、100.0%、72.7%、81.8%、100.0%,特异度分别为61.8%、50.6%、78.7%、71.9%、62.9%。**结论** COVID-19感染患者血浆D-二聚体、FDPs水平明显升高,且合并高龄对COVID-19感染疾病严重程度及不良预后具有重要预测价值。

【关键词】 新型冠状病毒肺炎;D-二聚体;纤维蛋白(原)降解产物

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Coagulation dysfunction and its effect on prognosis in patients with coronavirus disease 2019 pneumonia

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【Abstract】Objective To analyze the characteristics of plasma D-dimer, fibrin degradation products (FDPs) and other coagulation indices in patients with coronavirus disease 2019 (COVID-19) pneumonia and explore their predictive value for patient's condition. **Methods** A total of 100 patients with COVID-19 pneumonia admitted to our hospital from December 7, 2022 to January 28, 2023 were enrolled and then divided into mild ($n=29$), moderate ($n=40$), and severe/critical infection ($n=31$) groups. Their plasma D-dimer, FDPs and other coagulation indices were recorded, and the characteristics of coagulation indices were analyzed in the three groups. SPSS statistics 27.0 was used for statistical analysis. LSD- t test, Fisher exact test, Kruskal-Wallis test, χ^2 test or Bonferroni calibration test were employed for intergroup comparison depending on data type. Receiver operating characteristic (ROC) curve was plotted to evaluate the diagnostic value of FDPs, D-dimer, age, and their combination in predicting mortality in the COVID-19 infected patients. **Results** Larger proportions of older age and comorbidities and higher mortality were observed in the severe/critical groups than the mild and moderate groups ($P<0.05$). Plasma FDPs level in the moderate group and plasma FDPs and D-dimer levels in the severe/critical group were significantly higher than those in the mild group ($P<0.05$). The area under the curve (AUC) of FDPs, D-dimer, age, combined FDPs and D-dimer, and combination of FDPs, D-dimer and age in predicting mortality in the COVID-19 infected patients was 0.785 (95%CI 0.645–0.926; $P<0.01$), 0.811 (95%CI 0.691–0.03; $P<0.01$), 0.725 (95%CI 0.558–0.891; $P<0.05$), 0.766 (95%CI 0.581–0.951; $P<0.05$) and 0.875 (95%CI 0.789–0.962; $P<0.01$), respectively, and the sensitivity was 90.9%, 100.0%, 72.7%, 81.8% and 100.0%, and the specificity was 61.8%, 50.6%, 78.7%, 71.9% and 62.9%, respectively. **Conclusion** Plasma D-dimer and FDPs levels are significantly increased in COVID-19 patients. The two indicators combined with advanced age have an important predictive value for the severity and poor prognosis of COVID-19.

【Key words】 coronavirus disease 2019; D-dimer; fibrin degradation products

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新型冠状病毒肺炎 (coronavirus disease 2019, COVID-19) 感染患者常以咽痛、干咳、发热等为首发症状^[1], 具有自限性, 大多数患者预后较好, 合并心肺基础疾病的老年患者可发展至重症, 常在发病数天后出现呼吸困难、低氧血症, 严重者可出现急性呼吸窘迫综合征^[2]、出凝血平衡失调^[3]、多器官功能衰竭^[4]等。相关研究^[5]显示 COVID-19 感染后患者会出现血浆纤维蛋白 (原) 降解产物 (fibrin degradation products, FDPs)、D-二聚体水平显著升高, 且升高水平与疾病恶化呈正相关。本研究对不同危重程度的 COVID-19 感染患者的凝血指标特点进行分析, 探讨 D-二聚体、FDPs 对 COVID-19 感染患者病情的预测价值。

1 对象与方法

1.1 研究对象

选取 2022 年 12 月至 2023 年 1 月南京医科大学第二附属医院收治的 100 例根据《新型冠状病毒感染诊疗方案 (试行第十版)》^[1] 临床确诊为 COVID-19 感染的患者作为研究对象, 根据病情严重程度分为 3 组。(1) 轻型组: 患者仅出现咽干、咽痛、咳嗽、发烧等上呼吸道感染症状, 共 29 例。(2) 中型组: 患者出现持续高热 >3 d 或 (和) 咳嗽、气促等, 呼吸频率 (respiratory rate, RR) <30 次/min、静息状态下吸空气时指氧饱和度 >93%, 影像学可见特征性 COVID-19 感染肺炎表现, 共 40 例。(3) 重型组/危重型: 重型诊断标准为患者出现 RR ≥ 30 次/min; 指脉氧饱和度 ≤ 93%; 氧合指数 ≤ 300 mmHg (1 mmHg = 0.133 kPa); 临床症状进行性加重, 肺部影像学显示 1~2 d 内病灶明显进展 >50%。危重型诊断标准为出现呼吸衰竭需要机械通气; 出现休克; 合并其他器官功能衰竭需重症监护治疗, 共 31 例。排除标准: COVID-19 感染诊断不明确及缺乏关于凝血指标的信息。

1.2 方法

比较 3 组 COVID-19 感染患者的一般资料及凝

血指标特点。(1) 一般资料: 性别、年龄、既往基础疾病史等; (2) 入院时实验室检查: D-二聚体、FDPs、血小板计数 (platelet, PLT)、凝血酶原时间 (prothrombin time, PT)、活化部分凝血活酶时间 (activated partial thromboplastin time, APTT); (3) 临床结局: 病情改善、死亡。

1.3 统计学处理

采用 SPSS 27.0 统计软件进行数据分析。符合正态分布的计量资料用均数 ± 标准差 ($\bar{x} \pm s$) 表示, 组间比较采用方差分析, 组内两两比较采用 LSD-*t* 法; 非正态分布的计量资料, 用中位数 (四分位数间距) [$M(Q_1, Q_3)$] 表示, 组间比较采用 Kruskal-Wallis 检验, 组内两两比较采用 Bonferroni 校正检验。计数资料用例数 (百分率) 表示, 组间比较采用 χ^2 检验, 组内两两比较采用 Bonferroni 校正检验。采用受试者工作特征 (receiver operating characteristic, ROC) 曲线评价 FDPs、D-二聚体、年龄及联合分析对预测 COVID-19 感染患者病死的诊断价值。 $P < 0.05$ 为差异有统计学意义。

2 结果

2.1 3 组患者一般资料比较

重型/危重型组高龄患者比例显著高于轻型组 and 中型组 ($P < 0.01$); 重型/危重型组合并高血压、冠心病比例高于轻型组和中型组 ($P < 0.05$), 且与轻型组和中型组相比, 重型/危重型组死亡率显著升高, 差异有统计学意义 ($P < 0.01$)。3 组患者性别、糖尿病及肺部疾病所占比例比较, 差异无统计学意义 (表 1)。

2.2 3 组患者凝血指标水平比较

中型组患者血浆 FDPs 水平高于轻型组 ($P < 0.05$), 重型/危重型组患者血浆 FDPs、D-二聚体水平显著高于轻型组 ($P < 0.01$), 中型组与重型/危重型组患者血浆 FDPs、D-二聚体水平差异无统计学意义 ($P > 0.05$); 不同组 PLT、PT、APTT 水平比较, 差异无统计学意义 (表 2)。

表 1 3 组患者一般临床资料比较

Table 1 Comparison of general clinical date of patients among three groups

Group	<i>n</i>	Age (years, $\bar{x} \pm s$)	Gender [<i>n</i> (%)]		Co-underlying disease [<i>n</i> (%)]				Outcome [<i>n</i> (%)]	
			Male	Female	Hypertension	Diabetes mellitus	Coronary heart disease	Respiratory disease	Improvement	Death
Mild	29	70.07 ± 13.98**	17(58.6)	12(41.4)	20(69.0)*	10(34.5)	11(37.9)*	3(10.3)	29(100.0)	0(0.0)*
Moderate	40	76.15 ± 10.02**	24(60.0)	16(40.0)	36(90.0)*	17(42.5)	26(65.0)*	9(22.5)	37(92.5)	3(7.5)*
Severe/Critical	31	79.35 ± 8.34	20(64.5)	11(35.5)	28(90.3)	12(38.7)	22(71.0)	7(22.6)	23(74.2)	8(25.8)
<i>F</i> / χ^2		5.625		0.247	6.034	0.456	7.752	1.988		11.027
<i>P</i> value		0.005		0.884	0.049	0.796	0.020	0.384		0.003

Compared with severe/critical group, * $P < 0.05$, ** $P < 0.01$.

表 2 3 组患者凝血指标水平比较

Table 2 Comparison of coagulation indexes among three groups						[$M(Q_1, Q_3)$]	
Group	n	PLT($\times 10^9/L$)	PT(s)	APTT(s)	FDPs($\mu g/ml$)	D-dimer($\mu g/ml$)	
Mild	29	179(151,249)	12.7(11.95,13.2)	33.8(29.6,41.8)	3.5(2.25,5.75)	1.15(0.715,2.015)	
Moderate	40	180(144.75,241.75)	12.55(11.925,13.7)	36.15(31,39.75)	5.05(3.725,6.5)*	1.69(1.2,2.2)	
Severe/Critical	31	159(133,194)	12.8(12.1,13.8)	37.2(34.4,44.6)	5.7(4.4,10.2)**	1.83(1.42,3.42)**	
F/t		3.983	0.602	3.711	14.445	10.343	
P value		0.137	0.740	0.156	0.001	0.006	

PLT: platelet; PT: prothrombin time; APTT: activated partial thromboplastin time; FDPs: fibrin degradation products. Compared with mild group, * $P<0.05$, ** $P<0.01$.

2.3 ROC 曲线分析

FDPs、D-二聚体、年龄、FDPs 与 D-二聚体两项联合分析及三项联合的 ROC 曲线下面积(area under curve, AUC) 分别为 0.785 (95%CI 0.645 ~ 0.926; $P<0.01$)、0.811 (95%CI 0.691 ~ 0.03; $P<0.01$)、0.725(95%CI 0.558 ~ 0.891; $P<0.05$)、0.766 (95%CI 0.581 ~ 0.951; $P<0.05$)、0.875 (95%CI 0.789 ~ 0.962; $P<0.01$;图 1,表 3)。并通过霍斯默-莱梅肖拟合优度检验来评估 FDPs、D-二聚体及年龄三项联合预测模型的校准能力,结果显示霍斯默-莱梅肖检验 $\chi^2=2.414$, $P=0.966>0.05$,提示模型预测值与实际观测值之间的差异无统计学意义,预测模型有较好的校准能力。

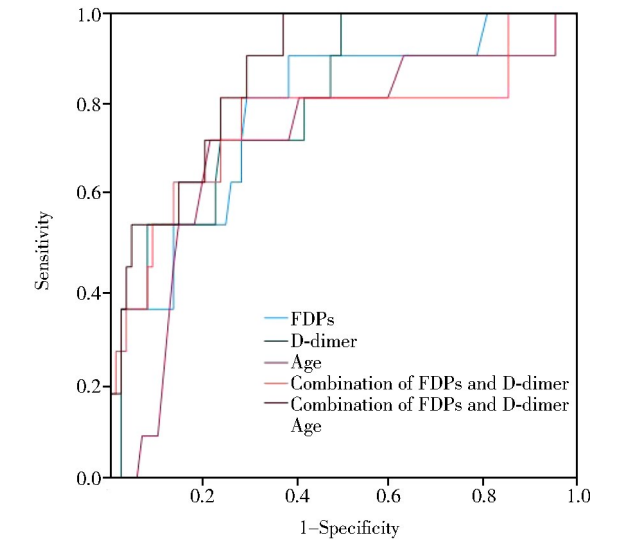


图 1 FDPs、D-二聚体、年龄、FDPs 和 D-二聚体两项联合以及三项联合对预测 COVID-19 感染患者病死的价值
Figure 1 FDPs, D-dimer, age, the combination of FDPs and D-dimer, and combination of FDPs, D-dimer and age in predicting death of patients with COVID-19
FDPs: fibrin degradation products; COVID-19: coronavirus disease 2019.

表 3 FDPs、D-二聚体、年龄及联合分析对预测 COVID-19 感染患者病死的诊断价值

Table 3 Diagnostic value of FDPs, D-dimer, age and combinations in predicting death of patients with COVID-19							
Item	Bestcut-off	AUC	Sensitivity (%)	Specificity (%)	Youden index	95%CI	P value
FDPs	5.25 $\mu g/ml$	0.785	90.9	61.8	0.527	0.645-0.926	<0.01
D-dimer	1.47 $\mu g/ml$	0.811	100.0	50.6	0.506	0.691-0.93	<0.01
Age	83.5 years	0.725	72.7	78.7	0.514	0.558-0.891	<0.05
Combination of FDPs and D-dimer	-	0.766	81.8	71.9	0.537	0.581-0.951	<0.05
Combination of FDPs, D-dimer and age	-	0.875	100.0	62.9	0.629	0.789-0.962	<0.01

COVID-19: corona virus disease 2019; AUC: area under curve; FDPs: fibrin degradation products. -: no datum.

3 讨论

COVID-19 是一种正单链 RNA 病毒颗粒,含有 刺突蛋白(S 蛋白)、包膜蛋白(E 蛋白)、膜蛋白(M 蛋白)、核壳蛋白(N 蛋白)4 种结构蛋白^[1]。侵入 人体呼吸道后,依靠 S 蛋白的受体结合域识别并结 合宿主细胞血管紧张素转化酶 2(angiotensin conver- ting enzyme 2, ACE2)受体,从而感染宿主细胞^[6]。 ACE2 受体遍布于心、肺、肝、肾等全身器官,感染后 COVID-19 直接侵袭内皮细胞,促炎细胞因子的释 放^[7],内皮功能障碍促进全身凝血,造成机体高凝 状态^[9],易形成微循环血栓^[9]、致通气/血流失衡。 瑞典研究团队^[10]发现近 20%的 COVID-19 感染者 会出现凝血功能异常,几乎所有重型和危重型患者 存在凝血功能紊乱。D-二聚体、FDPs 水平升高反 应机体凝血功能障碍或血栓生成^[11]。

研究表明在 COVID-19 早期 D-二聚体、FDPs 水平显著升高,D-二聚体升高 3 ~ 4 倍提示预后 不良,从疾病早期监测 D-二聚体等凝血指标有助于 评估 COVID-19 感染的进展^[12]。本研究对 100 例 COVID-19 感染患者凝血指标进行分析,探索 D-二 聚体、FDPs 水平对患者病情的预测价值。研究结果 显示:与轻型组和中型组相比,重型/危重型组患者 高龄、合并高血压、冠心病比例及死亡率增加;中 型组患者血浆 FDPs、重型/危重型组患者血浆 D-二 聚体、FDPs 均高于轻型组;该结果提示年龄越大, FDPs、D-二聚体水平越高,合并有心血管疾病的 COVID-19 感染患者病情进展、死亡风险越高。

COVID-19 感染所致凝血功能障碍与弥散性血 管内凝血或其他病原体引起的凝血功能障碍相比, D-二聚体、FDPs 水平往往更高或更早出现^[13]。本研

究 ROC 曲线分析结果显示, D-二聚体具有高灵敏度(100.0%)及较低特异度(50.6%),与其他评估 D-二聚体和 COVID-19 的研究结果相符^[14],提示 D-二聚体是判断 COVID-19 感染患者血栓性疾病的有效排除性工具。Tang 等^[15]发现在严重 COVID-19 肺炎死亡病例中 FDPs、D-二聚体均明显升高;本研究初步将 FDPs、D-二聚体联合分析后发现特异度升高,但 AUC 及灵敏度降低,针对此结果本研究后续将年龄纳入进行三项联合后的 ROC 曲线分析显示 AUC 升高,灵敏度仍为 100.0%,虽然特异度较年龄单项分析有所下降,但可补足不同指标之间假阳性或假阴性的缺点,有效提高对疾病的诊断准确率,对于临床诊疗具有重要意义。新入院 COVID-19 感染的高龄患者应迅速检测其凝血指标水平,评估预后并尽早予以对症治疗,避免漏诊误诊可能,进一步提示 D-二聚体、FDPs 水平以及患者高龄是有效预测 COVID-19 感染患者病情的重要因素。

多项尸检报告证实 COVID-19 肺炎患者死亡的主要原因是血栓引起的肺栓塞^[16,17]。对于 COVID-19 感染患者预防性抗凝治疗目前虽有争议^[18],但对于重型患者而言,积极、早期予以抗凝剂(如低分子肝素和新型口服抗凝剂)治疗具有必要性。相关研究显示,具有深静脉血栓风险的 COVID-19 感染患者使用抗凝剂可以降低死亡率^[19]。同时,国内外的专家共识或指南^[20,21]提出在没有禁忌证的情况下将低分子肝素作为一线治疗,待病情平稳后可换用新型口服抗凝剂。本研究团队针对 COVID-19 感染患者治疗的后续研究结果初步提示抗凝治疗的疗效显著,有待更多临床数据进一步证实。

综上,随着 COVID-19 感染患者病情进展,体内出血平衡失调,血栓形成风险增加,纤溶亢进,D-二聚体、FDPs 水平升高更明显。因此,本研究认为 D-二聚体、FDPs 水平与 COVID-19 感染患者病情严重程度及不良预后具有一定相关性,且高龄、合并基础疾病是促进 COVID-19 感染病情进展的危险因素。

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